

RECEIVED
OFFICE OF THE SENATE
DATE 5/16/17

COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the **Office of Public Records** in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. **Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.**

SUBMIT DIRECTLY TO THE **OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING**

Name of Traveler: Emily Manning

Employing Office/Committee: Foreign Relations

Private Sponsor(s) (List all): MIT Security Studies Program

Travel Date(s): April 19-21, 2017

Description/Title of Attached Forms: RE-1 (final version); Amended RE-2 Form; PSTCF (complete copy); Itinerary (final version)

Purpose of Amendment (describe the reason for amending original submission): Post-travel submission must be amended with the Office of Public Records in SH-232.

X 5/16/17
(Date)

X [Signature]
(Signature of Traveler)

Employee Post-Travel Disclosure of Travel Expenses

Date/Time Stamp:

17 MAY -8 PM 2:21

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The original Employee Pre-Travel Authorization (Form RE-1), AND
- ☒ A copy of the Private Sponsor Travel Certification Form with all attachments (itinerary, invitee list, etc.)

MIT Security Studies Program through an ongoing grant from the Frankel Foundation

Private Sponsor(s) (list all):

Travel date(s): April 19-21, 2017.

Name of accompanying family member (if any):

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate	430.55	488.00	207.84	10.18 cab
<input checked="" type="checkbox"/> Actual Amount	16.18 (ELM) 22.22			22.22 cab 10.00 tote bag (if taken)

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate				
<input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.):

agenda attached

5/8/17 Emily Manning
(Date) (Printed name of traveler)

(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the Employee Pre-Travel Authorization form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

5/8/17
(Date)

(Signature of Supervising Senator/Officer)

Form RE-2

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Date/Time Stamp:

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the **Select Committee on Ethics in SH-220**. Incomplete and late travel submissions will not be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

17 MAR 27 PM 2: 33

Name of Traveler: Emily Manning

Employing Office/Committee: Senate Foreign Relations Committee

Private Sponsor(s) (list all): Security Studies Program, Massachusetts Institute of Technology

Travel date(s): April 19 - 21, 2017 + April 22, 23 on personal expense
2017 (ELM)

Note: If you plan to extend the trip for any reason you must notify the Committee.

Destination(s): Cambridge, MA

Explain how this trip is specifically connected to the traveler's official or representational duties:

As the policy assistant on regional Asia issues, the nuclear focus of the program - specifically with regard to North Korea - will increase my ability to contribute and advise on current issues and policy options in the region.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

3/27/17
(Date)

[Signature]
(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Bob Corker hereby authorize Emily Manning
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

3/27/17
(Date)

[Signature]
(Signature of Supervising Senator/Officer)

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no not

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): Security Studies Program, Massachusetts Institute of Technology
2. Description of the trip: Educational seminar over 3 days at MIT for Congressional and Executive Branch staff on Nuclear Weapons in the 21st Century: Dangers, Strategies and Policymaking. The seminar will include sessions with MIT faculty and visiting experts in the field and will also include a 1/2 day of briefings on defense and technology issues at MIT's Lincoln Laboratory in Lexington, MA.
3. Dates of travel: April 19-21, 2017
4. Place of travel: Washington, DC to Cambridge, MA and back. There will be a 1/2 day of briefings at MIT's Lincoln Laboratory in Lexington, MA (bus service provided).
5. Name and title of Senate invitees: See attached list
6. I *certify* that the trip fits one of the following categories:
☐ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
~~OR~~
☒ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
~~AND~~
☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
~~AND~~
☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

~~OR~~

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

~~OR~~

☒ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Massachusetts Institute of Technology (MIT) is a major non-profit research university, and has organized an annual three-day intensive educational seminar on science and technology public policy-related issues for Congressional Staff, and in more recent years, including Executive Branch Staff, since 1992. The seminar is held on its campus and utilizes its faculty, alumni experts, and outside experts. An MIT faculty committee hosts and organizes the seminar.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Massachusetts Institute of Technology is a major non-profit research university with a mission focused on education and research in science, engineering and technology related fields and policy areas. MIT sponsors and supports this seminar as a public service to educate staff from Congress and the Executive Branch in important public policy issues directly related to their service missions in science, technology, and other areas of scholarship that will best serve the nation and the world in the 21st century.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

MIT has hosted this seminar, on a wide range of public policy issues related to science and technology, almost every year since 1992.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

MIT, as noted above, is a major non-profit research university with a mission focused on education and research in the sciences, engineering and technology, and a corresponding long history of public service in these fields.;

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate	\$408.40 (Air) \$128.50 (buses/cabs)	\$244.00 (2 nights)	\$69.00 (Wed.) \$69.00 (Thurs.) \$69.00 (Fri.)	
<input type="checkbox"/> Actual Amounts	Total: \$534.90	Total: \$488.00	Total: 207.00	

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The seminar was organized for Congressional, as well as Executive Branch staff, education. This seminar is organized specifically with regard to congressional participation.

18. Reason for selecting the location of the event or trip

The seminar is being held on the MIT campus in Cambridge, MA to enable the extensive participation of MIT faculty and regionally-based experts, and the use of MIT facilities, in the program.

19. Name and location of hotel or other lodging facility:

Hyatt Regency Cambridge, 575 Memorial Drive, Cambridge, MA 02139.

20. Reason(s) for selecting hotel or other lodging facility:

The hotel is immediately adjacent to the MIT campus; it offered proximity, room availability, included breakfast, and best overall price.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Lodging expenses at the Hyatt Regency Cambridge meet Federal Government per diem guidelines for

lodging. Federal Government per diems for meals will be met.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Transportation provided is coach class on the American Airlines shuttle between Washington, DC and Boston, MA.

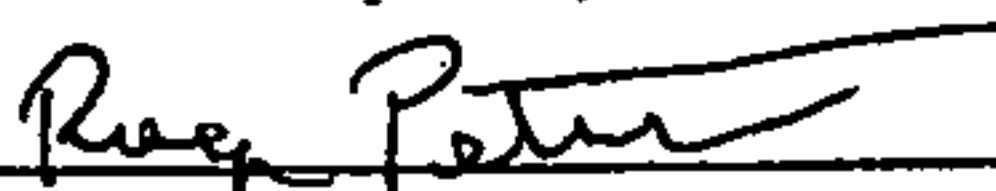
23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None.

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor:



Name and Title: Professor Roger Petersen, Faculty Member, Department of Political Science

Name of Organization: Massachusetts Institute of Technology

Address: 77 Massachusetts Avenue, E40-493, Cambridge, MA, 02139-4307.

Telephone Number: 617-253-6781

Fax Number: 617-258-7858

E-mail Address: rpeters@mit.edu

Select Committee on Ethics
United States Senate
Washington, DC 20510

March 23, 2017

Re: The 19th annual Seminar for Senior Congressional and Executive Branch Staff, to be held on the MIT campus April 19-21, 2017.

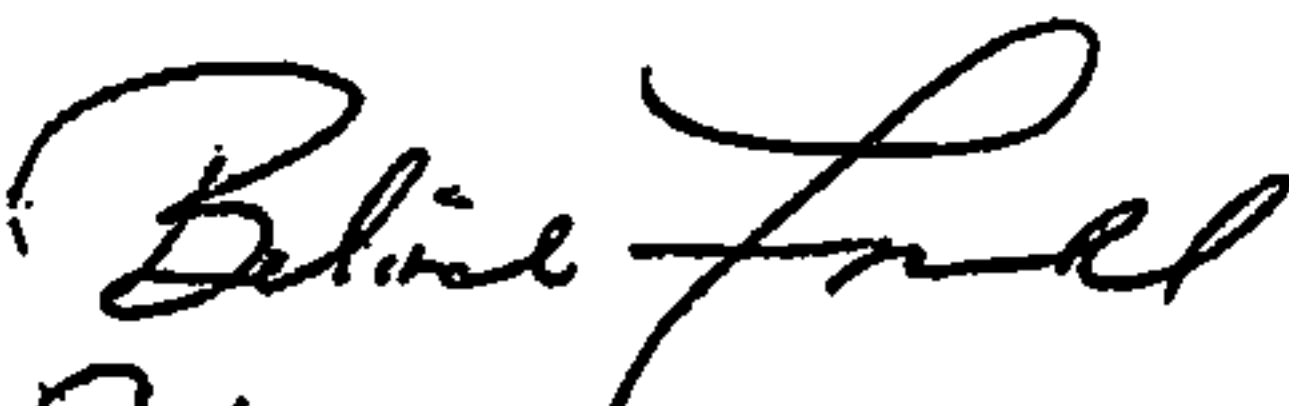
MIT has organized, hosted and provided its faculty as presenters for this science and technology seminar for the past 19 years.

MIT receives regular gifts from The Frankel Foundation, and has established the Frankel (MIT) Global Policy Program Fund to support the MIT Security Studies Program (SSP). The purpose of the Program Fund is to increase the knowledge and understanding of U.S. government leaders through use of information developed by members of MIT's SSP. The Program Fund is administered in the MIT Security Studies Program (SSP) and is held until proposals are reviewed and recommended by an Advisory Board which includes representatives from SSP and The Frankel Foundation, and then awarded. Approval to use these program funds for the 2017 Senior Congressional & Executive Branch Seminar were approved by the Frankel Foundation in 2016. The Frankel Foundation plays no role in organizing and/or conducting the trip but 1-3 of its members attend the seminar as observers.

The Frankel Foundation does not employ or retain a registered federal lobbyist or foreign agent.

I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:



Name:

Belinda Frankel

Title:

Frankel Foundation Trustee

Address:

1115 Moraga Dr. Los Angeles CA 90049

Telephone number:

310 476 6502

Email:

Belindakarl@gmail.com

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AGENDA

19TH MIT Senior Congressional and Executive Office Branch Seminar

Nuclear Weapons in the 21st Century: Dangers, Strategies and Policymaking

April 19-21, 2017

Sessions to be held at various locations on the MIT Campus. Bus transportation will be provided.

Wednesday, April 19	
8:30 am: 10:00 am:	American Airlines Flight 2169 from Washington Reagan Airport Arrive Boston Logan Airport Take bus to Hyatt Regency Cambridge to drop luggage off
11:15 am: 11:30 am:	Bus departs for MIT Samberg Conference Center Registration
12 Noon – 2 pm	Luncheon with Keynote speaker, Rebecca Hersman, Director, Project on Nuclear Issues, and Senior Adviser, International Security Program, Center for Strategic and International Studies
2:15 – 3:45 pm	Panel 1 US Nuclear Strategy in the 21st Century: Modernization and other Choices for Congress and the Executive Chair: Barry R. Posen, Ford International Professor of Political Science and Director of the MIT Security Studies Program Austin Long, Assistant Professor of International and Public Affairs, Columbia University Benjamin Friedman, research fellow in defense and homeland security studies, CATO Institute Caitlin Talmadge, Assistant Professor of Political Science and International Affairs, George Washington University
3:45 – 4 pm	Break

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4:00 – 5:30 pm	<p>Panel II Policymaking: Congressional and Executive Action Areas</p> <p>Chair: Owen R. Cote, Associate Director, MIT Security Studies Program</p> <p>Homeland Security/Nuclear Terrorism Matthew Bunn, Professor of Practice, John F. Kennedy School of Government, Harvard University</p> <p>Sanctions John Park, Director, Korea Working Group, Adjunct Lecturer, Harvard Kennedy School</p> <p>Budget & Force Structure Amy Woolf, Congressional Research Service</p>
7:00 – 9:00 pm	<p>Reception at the R&D Commons, Stata Center, MIT – Informal discussion with MIT Security Studies professors, panel participants, and Security Studies Program graduate students.</p>

Thursday, April 20	
7:30 – 8:30 am	Breakfast at the Hyatt Regency
8:45 – 9:45 am	Travel to Lincoln Laboratory, 244 Wood Street, Lexington, MA
10:00am – 12 noon	<p>Lab Overview and Tours:</p> <p>MIT Lincoln Laboratory Overview; Dr. Israel Soibelman, Assistant to the Director for Strategic Initiatives</p> <p>Laboratory Tours (2 groups) Led by: Israel Soibelman/Mr. John E. Kuconis, Executive Officer</p> <p>Group 1 - Microelectronics Lab (ML-202) Craig L. Keast, Associate Division Head, Advanced Technology</p> <p>Group 2 - Wide Area Persistent Surveillance (S1-761) – Peter Boettcher, Assistant Group Leader, Integrated Systems & Concepts</p>

12:00 noon – 2 pm	<p><i>Working Lunch</i></p> <p>Beaver Works Overview; Dr. Robert T-I. Shin, Division Head, ISR & Tactical Systems</p> <p>Lunar Laser Communications Demonstration; Dr. Farzana I. Khatri, Technical Staff, Optical Communications Technology</p> <p>3D Imaging Laser Radar; Dr. M. Jalal Khan, Group Leader, Active Optical Systems</p>
2:00 – 3:00 pm	Travel to MIT Campus – Koch Institute

3:15 – 5 pm	<p>Panel III</p> <p>Nuclear Problem States</p> <p>Chair: Jim Walsh, Senior Research Associate, MIT</p> <p>Russia Carol Saivetz, Senior Advisor, MIT Security Studies Program</p> <p>Iran Steven E. Miller, Director of the International Security Program, Harvard University</p> <p>North Korea Gary Samore, Executive Director for Research, Belfer Center for Science and International Affairs, Harvard University</p>
6:30 – 7:30 pm	Reception – Informal discussions with MIT Professors, Panel speakers, and MIT Security Studies Program graduate students.
7:30 – 9 PM	<p>Formal Dinner, Hyatt Regency Cambridge</p> <p>Dinner Speaker: Siegfried Hecker, Senior Fellow at the Freeman Spogli Institute for International Studies, and Research Professor of Management Science and Engineering, Stanford University.</p>

Friday, April 21, 2017	
7:30 – 8:30 am	Breakfast at the Hyatt Regency Cambridge
8:45 am	Bus to MIT Security Studies Program, Lucian Pye Room, 4 th Floor, 1 Amherst Street, Cambridge
9:15 am -11am	<p>Panel IV Allies and Adversaries in Asia: The Nuclear Landscape</p> <p>Chair: Taylor Fravel, MIT Professor of Political Science</p> <p>Japan Richard Samuels, Director, MIT Center for International Studies</p> <p>India/Pakistan Vipin Narang, MIT Professor of Political Science</p> <p>China Eric Heginbotham, MIT Principal Research Scientist</p>
11:00-11:15 am	Break
11:15 – 12:45 pm	<p>Panel V Preventing Another Iran: How to Stop Countries from Using Civil Nuclear Power as a Cover (MIT Nuclear Science and Engineering)</p> <p>Chair: Scott Kemp, Norman C. Rasmussen Associate Professor of Nuclear Science and Engineering at MIT, and director of the MIT Laboratory for Nuclear Security and Policy</p> <p>Matthew Bunn, Professor of Practice, Harvard University Kennedy School</p> <p>Will Tobey, Director, US Russia Initiative to Prevent Nuclear Terrorism, Harvard University</p>
1 pm	<p>Closing remarks</p> <p>Box Lunch</p>
2:00pm	Travel from Hyatt Regency to Logan Airport
4 pm	Depart Logan Airport, American Airlines flight 2119
5:28 pm	Arrive Washington, DC, Reagan National Airport

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